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Method of Refund:

☐ ACH/EFT

☐ Credit Card

☒ Deposit Account # 11-1755

☐ Treasury Check

REFUND COMPLETED
PCT NATIONAL DIVISION

Patent/TM/App/Serial # 10,571,181

Program Area PCT National

Date Processed 06-06-05

ATTENTION ATTENTION ATTENTION

Practitioner's Docket No. 82520

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Matthias Viehmann

Application No.: 10/571,181

Group No.

2006 MAY 19 PM 4:22

Filed: March 9, 2006

Examiner:

For: ARRANGEMENT FOR MONITORING ELECTRIC DEVICES ON STRAY LIGHT ARCS

☐ Patent No.:

Issued:

*NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Mail Stop 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

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PCT NATIONAL DIVISION

NOTE (HAND CARRIED): "Refund requests, deposit account replenishments, and maintenance fee payments may be hand carried to the Office of Finance receptionist in Suite 300, 2051 Jamieson Avenue (Carlyle Place building), Alexandria, VA 22314. Hand carried correspondence will only be accepted, and not processed. Although the receptionist will not process any correspondence, if the correspondence is delivered with an itemized postcard, the receptionist will provide a delivery receipt by date stamping the postcard. Depending on whether the correspondence is a refund request, deposit account related (e.g., a deposit account replenishment), or maintenance fee related (e.g., a maintenance fee payment), the correspondence should be placed in an envelope with REFUND, DEPOSIT ACCOUNT, or MAINTENANCE FEE written in dark ink across the envelope." See "New Patents Central FAX Number and Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," 1296 OG 76, July 12, 2005.

NOTE (FACSIMILE): Refund requests facsimile number 571-273-6500. See "New Patents Central FAX Number and Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," 1296 OG 76, July 12, 2005.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 *

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (573) 273-6500.

Signature

Edward M. Kriegsman

(type or print name of person certifying)

Date: May 17, 2006

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Request for Refund [7-9]—page 1 of 4)

PAID
2006 MAY 19 PM 4:22

REQUEST FOR REFUND
(37 C.F.R. § 1.28(a))

US PATENT & TRADEMARK
OFFICE

NOTE: 37 C.F.R. § 1.28(a): "(a) Refunds based on later establishment of small entity status. A refund pursuant to § 1.26, based on establishment of small entity status, of a portion of fees timely paid in full prior to establishing status as a small entity may only be obtained if an assertion under § 1.27(c) and a request for a refund of the excess amount are filed within three months of the date of the timely payment of the full fee. The three-month time period is not extendable under § 1.136. Status as a small entity is waived for any fee by the failure to establish the status prior to paying, at the time of paying, or within three months of the date of payment of, the full fee."

NOTE: Submission of a Change of Status (small/not small entity status) after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.

WARNING: A request for a refund Submissions after a Notice of Allowance may subject an application to a reduction in patent term adjustment under 37 C.F.R. 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.

NOTE: 37 C.F.R. § 1.26 Refunds

(a) The Commissioner may refund any fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee, such as when a party desires to withdraw a patent or trademark filing for which the fee was paid, including an application, an appeal, or a request for an oral hearing, will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts. If a party paying a fee or requesting a refund does not provide the banking information necessary for making refunds by electronic funds transfer (31 U.S.C. 3332 and 31 CFR part 208), or instruct the Office that refunds are to be credited to a deposit account, the Commissioner may require such information, or use the banking information on the payment instrument to make a refund. Any refund of a fee paid by credit card will be by a credit to the credit card account to which the fee was charged.

(b) Any request for refund must be filed within two years from the date the fee was paid, except as otherwise provided in this paragraph or in § 1.28(a). If the Office charges a deposit account by an amount other than an amount specifically indicated in an authorization (§ 1.25(b)), any request for refund based upon such charge must be filed within two years from the date of the deposit account statement indicating such charge, and include a copy of that deposit account statement. The time periods set forth in this paragraph are not extendable.

I. SUBMISSION OF SMALL ENTITY ASSERTION

(complete (a) or (b))

- (a) ☒ Attached is an assertion of small entity status in this application.
- (b) ☐ An assertion of small entity status was filed in this application on _____.

II. REFUND REQUEST

NOTE: 37 C.F.R. § 1.28(b)(1): "(b) Date of payment. (1) The three-month period for requesting a refund, pursuant to paragraph (a) of this section, starts on the date that a full fee has been paid. . ."

This request for refund is made within three months of the date the full fee was paid in this application on March 9, 2006, in the amount of \$ 1340.

NOTE: If the fee was charged to a deposit account, a request for refund must be filed within two months of the date of the charge to the deposit account.

III. FEE PAID FOR WHICH REFUND REQUESTED

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AMOUNT OF REFUND REQUESTED

- ☐ Filing fee US PATENT & TRADEMARK OFFICE \$ _____
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. § 1.16(e) or 37 C.F.R. § 1.16(l)). \$ _____

or

- ☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e)) \$ _____
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ _____

or

- ☐ Surcharge for filing the cover sheet on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ _____
- ☒ 37 C.F.R. § 1.16(a), (b), or (c) (basic fee) \$ 150
- ☒ 37 C.F.R. § 1.16(h), (i), or (j) (presentation of extra claims) \$ 200
- ☒ 37 C.F.R. § 1.16(k), (l), or (m) (search fee) \$ 200
- ☒ 37 C.F.R. § 1.16(o), (p), or (q) (examination fee) \$ 100
- ☐ 37 C.F.R. § 1.16(s) (additional fee for specification and drawings filed in paper over 100 sheets) \$ _____
- ☐ Extension of term \$ _____
- ☐ Issue fee \$ _____
- ☐ Patent maintenance fee
- ☐ first maintenance fee \$ _____
- ☐ second maintenance fee \$ _____
- ☐ third maintenance fee \$ _____
- ☐ Patent maintenance fee surcharge.

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

- ☐ Other \$ _____

TOTAL REFUND REQUESTED \$ 650

IV. MANNER OF REFUND

Please make refund by:

- ☒ Crediting Deposit Account No. 11-1755 706 MAY 19 PM 4:22
☐ Crediting applicant's credit card as shown on the attached credit card authorization form PTO-2038. US PATENT & TRADEMARK OFFICE

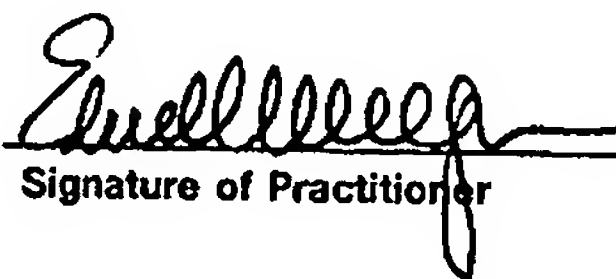
WARNING: Credit card information should not be included on this form as it may become public.

- ☐ Sending refund check to applicant
☐ By electronic funds transfer using the banking information on the payment instrument.

Reg. No.: 33,529

Tel. No.: (508) 481-3500

Customer No.: 23685


Signature of Practitioner

EDWARD M. KRIEGSMAN

(type or print name of practitioner)
KRIEGSMAN & KRIEGSMAN
30 TURNPIKE ROAD, SUITE 9

P.O. Address

SOUTHBOROUGH, MA 01772

Patent
Attorney Docket No. 82520
Customer No. 23685

TRANSMITTAL LETTER

Inventor: Matthias Viehmann
Serial No: 10/571,181
Filed: March 9, 2006
For: ARRANGEMENT FOR MONITORING ELECTRIC DEVICES ON STRAY LIGHT ARCS

Examiner: Unknown
Group Art Unit: Unknown
Confirmation No: Unknown

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


Transmitted herewith for the above-identified patent application are the following:

A Request for Refund (37 CFR 1.28(a))
An Assertion of Small Entity Status
A return postcard

The item(s) checked below are appropriate:


- an
1. ☐ Applicant(s) hereby petition(s) for a () month extension of time to respond to
 2. ☒ Please charge any fees or costs not accounted for to Deposit Account No. 11-1755.
 3. ☒ Applicant is a small entity.

Date: May 17, 2006


Edward M. Kriegsman
Reg. No. 33,529

KRIEGSMAN & KRIEGSMAN
30 Turnpike Road, Suite 9
Southborough, MA 01772
(508) 481-3500

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on May 17, 2006.


Edward M. Kriegsman

2006 MAY 19 PM 4: 22

Attorney Docket No. 82520
Customer No. 23685

In re Application of:

Serial No.: 10/571,181

Filed: March 9, 2006

For: ARRANGEMENT FOR
MONITORING ELECTRIC
DEVICES ON STRAY LIGHT
ARCS

Group Art Unit: Unknown

Examiner: Unknown

Confirmation Number: Unknown

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ASSERTION OF SMALL ENTITY STATUS

The applicant of the present application is entitled to small entity status. This assertion is being made by the undersigned, who is an attorney of record for the application.

If there are any fees due in connection with the filing of this paper that are not accounted for, the Examiner is authorized to charge the fees to our Deposit Account No. 11-1755. If a fee is

required for an extension of time under 37 C.F.R. 1.136 that is not accounted for already, such an

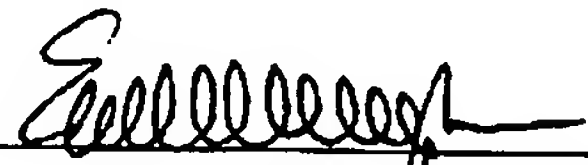
extension of time is requested and the fee should also be charged to our Deposit Account.

MAY 19 PM 4:24

Respectfully submitted,


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OFFICE

Kriegsman & Kriegsman

By: 
Edward M. Kriegsman
Reg. No. 33,529
30 Turnpike Road, Suite 9
Southborough, MA 01772
(508) 879-3500

Dated: May 17, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop 16, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on May 17, 2006.


Edward M. Kriegsman
Reg. No. 33,529
Dated: May 17, 2006

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

Repln. Ref: 10/03/2006 THOLLAND 0009411000
DA#: 111755 Name/Number: 10571181
FC: 9204 \$550.00 CR

PTO-1556 sent date: 10/03/2006 THOLLAND
10/03/2006 MKAYPAGH 00000014 10571181

(5/87)
01 FC:1631 -300.00 OP
02 FC:1642 -400.00 OP
03 FC:1633 -200.00 OP
04 FC:1615 -400.00 OP

U.S. Commercial Patent Office: 2002 — 400-257-0000

10/03/2006 THOLLAND 00090001 10571181

01 FC:2631 150.00 OP
02 FC:2642 200.00 OP
03 FC:2633 100.00 OP
04 FC:2615 200.00 OP

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